

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years

Annual Plan for Fiscal Year *2012*

## *Homer Housing Authority* *Homer, LA*

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH  
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Homer Housing Authority</u> PHA Code: <u>LA089</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2012</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>100</u> Number of HCV units: <u>N/A</u>				
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</i>				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <i>Increase the availability of decent, safe, and affordable housing. Renovate or modernize public housing units.</i>				
<b>6.0</b>	<b>PHA Plan Update</b> (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <u>N/A</u> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <u>Main administrative office of the PHA</u>				
<b>7.0</b>	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> Include statements related to these programs as applicable.				
<b>8.0</b>	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable. <span style="float: right;">See Pages 34 - 35</span>				
<b>8.1</b>	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <span style="float: right;">See Pages 36 - 49</span>				
<b>8.2</b>	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <span style="float: right;">See Pages 50 - 54</span>				
<b>8.3</b>	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.				
<b>9.0</b>	<b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. <i>Housing Needs: white - 2%, black - 98%, 1 bedroom - 28%, 2 bedroom - 56%, 3 bedroom - 16%</i>				
<b>9.1</b>	<b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b> <i>The PHA will strive to market to races/ethnicities shown to have disproportionate housing needs.</i>				

10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.  <i>The PHA is on schedule implementing previously set objectives. Progress is being made meeting goals set forth.</i></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>a. Substantial Deviation from the 5-Year Plan</p> <ul style="list-style-type: none"> <li>✓ <i>Any change to Mission Statement such as:</i></li> <li>✓ <i>50% deletion from or addition to the goals and objectives as a whole.</i></li> <li>✓ <i>50% or more decrease in the quantifiable measurement of any individual goal or objective</i></li> </ul> <p>b. Significant Amendment or Modification to the Annual Plan</p> <ul style="list-style-type: none"> <li>✓ <i>50% variance in the funds projected in the Capital Fund Program Annual Statement</i></li> <li>✓ <i>Any increase or decrease over 50% in the funds projected in the Financial Resource Statement and/or the Capital Fund Program Annual Statement</i></li> <li>✓ <i>Any change in a policy or procedure that requires a regulatory 30-day posting</i></li> <li>✓ <i>Any submission to HUD that requires a separate notification to residents, such as HOPE VI, Public Housing Conversion, Demolition/Disposition, Designated Housing or Homeownership Programs</i></li> <li>✓ <i>Any change inconsistent with the local, approved Consolidated Plan</i></li> </ul>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements <i>There were no Challenged Elements</i></p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

# PHA Plan Agency Identification

**PHA Name:** *Homer Housing Authority*

**PHA Number:** *LA089*

**PHA Fiscal Year Beginning: (mm/yyyy)** *7/2012*

## Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

## Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2012 - 2016**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☒ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☐ The PHA's mission is: (state mission here)

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- ☐ PHA Goal: Expand the supply of assisted housing

Objectives:

- ☐ Apply for additional rental vouchers:
- ☐ Reduce public housing vacancies:
- ☐ Leverage private or other public funds to create additional housing opportunities:
- ☐ Acquire or build units or developments
- ☐ Other (list below)

- ☒ PHA Goal: Improve the quality of assisted housing

Objectives:

- ☒ Improve public housing management: (PHAS score)
- ☐ Improve voucher management: (SEMAP score)
- ☐ Increase customer satisfaction:
- ☐ Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☐ Renovate or modernize public housing units:
- ☐ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

- ☐ PHA Goal: Increase assisted housing choices

Objectives:

- ☐ Provide voucher mobility counseling:
- ☐ Conduct outreach efforts to potential voucher landlords
- ☐ Increase voucher payment standards
- ☐ Implement voucher homeownership program:
- ☐ Implement public housing or other homeownership programs:
- ☐ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☐ Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

☒ PHA Goal: Provide an improved living environment

Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☒ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☐ Implement public housing security improvements:
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☐ Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

☐ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☐ Increase the number and percentage of employed persons in assisted families:
- ☐ Provide or attract supportive services to improve assistance recipients' employability:
- ☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☐ Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- ☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ☐ Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**Annual PHA Plan**  
**PHA Fiscal Year 2012**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

☐ **Standard Plan**

**Streamlined Plan:**

- ☐ **High Performing PHA**  
☐ **Small Agency (<250 Public Housing Units)**  
☐ **Administering Section 8 Only**  
☒ **Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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## Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment.  
Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

### Required Attachments:

- ☐ Admissions Policy for Deconcentration
- ☒ FY 2012 Capital Fund Program Annual Statement
- ☒ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

### Optional Attachments:

- ☒ PHA Management Organizational Chart
- ☒ FY 2013 - 2016 Capital Fund Program 5 Year Action Plan
- ☐ Public Housing Drug Elimination Program (PHDEP) Plan
- ☒ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☒ Other (List below, providing each attachment name)
  - ✓ *Community Service Attachment "D"*
  - ✓ *Violence Against Women Attachment "E"*
  - ✓ *Pet Policy "F"*
  - ✓ *Resident Member of Governing Board Attachment "G"*



## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	N/A
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	N/A
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	N/A
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
<i>X</i>	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
<i>X</i>	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
<i>NA</i>	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
<i>N/A</i>	Approved or submitted applications for demolition and/or disposition of public housing	N/A
<i>NA</i>	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
<i>NA</i>	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
<i>NA</i>	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
<i>NA</i>	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	N/A
<i>NA</i>	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
<i>NA</i>	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
<i>NA</i>	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
<i>NA</i>	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
<i>X</i>	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
<i>X</i>	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	450	5	5	5	5	5	5
Income >30% but <=50% of AMI	297	2	2	2	2	2	2
Income >50% but <80% of AMI	333	3	3	3	3	3	3
Elderly	180	2	2	2	2	2	2
Families with Disabilities	257	2	2	2	2	2	2
White	293	3	3	3	3	3	3
Black	809	5	5	5	5	5	5
Hispanic	8	1	1	1	1	1	1
Race/Ethnicity	0	0	0	0	0	0	0

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☐ Consolidated Plan of the Jurisdiction/s Indicate year:
- ☐ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- ☐ American Housing Survey data Indicate year:
- ☐ Other housing market study Indicate year:
- ☒ Other sources: (list and indicate year of information) 2000 Census

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	<b>114</b>		<b>30</b>
Extremely low income <=30% AMI	<b>113</b>	<b>99.12%</b>	
Very low income (>30% but <=50% AMI)	<b>0</b>	<b>0%</b>	
Low income (>50% but <80% AMI)	<b>0</b>	<b>0%</b>	
Families with children	<b>52</b>	<b>45.61%</b>	
Elderly families	<b>7</b>	<b>6.140%</b>	
Families with Disabilities	<b>12</b>	<b>10.53%</b>	
<i>White</i>	<b>6</b>	<b>5.26%</b>	
<i>Black</i>	<b>108</b>	<b>94.74%</b>	
<i>Hispanic</i>	<b>0</b>	<b>0%</b>	
Race/ethnicity	<b>0</b>	<b>0%</b>	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	<b>44</b>	<b>39%</b>	
2 BR	<b>30</b>	<b>26%</b>	
3 BR	<b>26</b>	<b>22%</b>	
4 BR	<b>0</b>	<b>0%</b>	
5 BR	<b>0</b>	<b>0%</b>	
5+ BR	<b>0</b>	<b>0%</b>	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

## **9.1 Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

### **(1) Strategies**

#### **Need: Shortage of affordable housing for all eligible populations**

*The PHA will strive to market to races/ethnicities shown to have disproportionate housing needs.*

#### **Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☐ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☐ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☐ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

#### **Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- ☐ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☐ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

#### **Need: Specific Family Types: Families at or below 30% of median**

#### **Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

#### **Need: Specific Family Types: Families at or below 50% of median**

#### **Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

#### **Need: Specific Family Types: The Elderly**

#### **Strategy 1: Target available assistance to the elderly:**

Select all that apply

- ☒ Seek designation of public housing for the elderly
- ☐ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☒ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☐ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- ☒ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- ☐ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☐ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☐ Funding constraints
- ☐ Staffing constraints
- ☒ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☐ Community priorities regarding housing assistance
- ☐ Results of consultation with local or state government
- ☐ Results of consultation with residents and the Resident Advisory Board
- ☐ Results of consultation with advocacy groups
- ☐ Other: (list below)

## 2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
<b>1. Federal Grants (FY 2012 grants)</b>		
a) Public Housing Operating Fund	\$ 308,307.00	
b) Public Housing Capital Fund	\$ 121,033.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contribution for Section 8 Tenant-Based Assistance		
f) Public Housing Drug Elimination Program (including any Technical Assistance Funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (<a href="#">unobligated funds only</a>) (list below)</b>		
<b>3. Public Housing Dwelling Rental Income</b>		
<b>4. Other Income (list below)</b>		
<b>5. Non-federal sources (list below)</b>		
<b>Total resources</b>	\$ 429,340.00	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☒ When families are within a certain number of being offered a unit: (state number)
- ☐ When families are within a certain time of being offered a unit: (state time)
- ☒ Other: (describe) *When the completed application is received*

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☐ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☒ Other (describe) *Character References*

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
- ☐ PHA development site management office
- ☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) **Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? *0*

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office
- ☐ All PHA development management offices
- ☐ Management offices at developments with site-based waiting lists
- ☐ At the development to which they would like to apply
- ☐ Other (list below)



### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☒ One  
☐ Two  
☐ Three or More

b. ☐ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

### **(4) Admissions Preferences**

a. Income targeting:

- ☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies  
☒ Overhoused  
☒ Underhoused  
☒ Medical justification  
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)  
☐ Resident choice: (state circumstances below)  
☐ Other: (list below)

c. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) **Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
☐ Victims of domestic violence  
☐ Substandard housing  
☐ Homelessness  
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability  
☐ Veterans and veterans' families  
☐ Residents who live and/or work in the jurisdiction  
☐ Those enrolled currently in educational, training, or upward mobility programs  
☐ Households that contribute to meeting income goals (broad range of incomes)  
☐ Households that contribute to meeting income requirements (targeting)  
☐ Those previously enrolled in educational, training, or upward mobility programs  
☐ Victims of reprisals or hate crimes  
☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

☐ Date and Time

Former Federal preferences:

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
☐ Victims of domestic violence  
☐ Substandard housing  
☐ Homelessness  
☐ High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability  
☐ Veterans and veterans' families  
☐ Residents who live and/or work in the jurisdiction  
☐ Those enrolled currently in educational, training, or upward mobility programs  
☐ Households that contribute to meeting income goals (broad range of incomes)  
☐ Households that contribute to meeting income requirements (targeting)  
☐ Those previously enrolled in educational, training, or upward mobility programs  
☐ Victims of reprisals or hate crimes  
☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers  
☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

#### **(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease  
☒ The PHA's Admissions and (Continued) Occupancy policy  
☒ PHA briefing seminars or written materials  
☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition?(select all that apply)

- ☒ At an annual reexamination and lease renewal  
☒ Any time family composition changes  
☒ At family request for revision  
☐ Other (list)

#### **(6) Deconcentration and Income Mixing**

a. ☐ Yes ☒ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☐ Yes ☒ No No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- ☐ Adoption of site-based waiting lists. If selected, list targeted developments below:
- ☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments. If selected, list targeted developments below:
- ☐ Employing new admission preferences at targeted developments. If selected, list targeted developments below:
- ☐ Other (list policies and developments targeted below)

d. ☐ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☐ Additional affirmative marketing
- ☐ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments
- ☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- ☐ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- ☐ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

## B. Section 8 – ***NOT APPLICABLE***

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☐ Criminal or drug-related activity only to the extent required by law or regulation
- ☐ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)
- ☐ Other (list below)

b. ☐ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☐ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- ☐ Criminal or drug-related activity  
☐ Other (describe below)

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- ☐ None  
☐ Federal public housing  
☐ Federal moderate rehabilitation  
☐ Federal project-based certificate program  
☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- ☐ PHA main administrative office  
☐ Other (list below)

**(3) Search Time**

a. ☐ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?  
If yes, state circumstances:

**(4) Admissions Preferences**

a. Income targeting

- ☐ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☐ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**).

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
☐ Victims of domestic violence  
☐ Substandard housing  
☐ Homelessness  
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability  
☐ Veterans and veterans' families  
☐ Residents who live and/or work in your jurisdiction  
☐ Those enrolled currently in educational, training, or upward mobility programs  
☐ Households that contribute to meeting income goals (broad range of incomes)  
☐ Households that contribute to meeting income requirements (targeting)  
☐ Those previously enrolled in educational, training, or upward mobility programs  
☐ Victims of reprisals or hate crimes  
☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

☐ Date and Time

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans’ families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☐ Date and time of application
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- ☐ This preference has previously been reviewed and approved by HUD
- ☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- ☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- ☐ The Section 8 Administrative Plan
- ☐ Briefing sessions and written materials
- ☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- ☐ Through published notices
- ☐ Other (list below)

#### **4. PHA Rent Determination Policies** [24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- ☐ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☒ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0  
☐ \$1-\$25  
☒ \$26-\$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

1. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- ☐ For the earned income of a previously unemployed household member  
☐ For increases in earned income  
☐ Fixed amount (other than general rent-setting policy) If yes, state amount/s and circumstances below:  
☐ Fixed percentage (other than general rent-setting policy) If yes, state percentage/s and circumstances below:  
☐ For household heads  
☐ For other family members  
☐ For transportation expenses  
☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families  
☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- ☒ Yes for all developments  
☐ Yes but only for some developments  
☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- ☒ For all developments  
☐ For all general occupancy developments (not elderly or disabled or elderly only)  
☐ For specified general occupancy developments  
☐ For certain parts of developments; e.g., the high-rise portion  
☐ For certain size units; e.g., larger bedroom sizes  
☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☒ Market comparability study
- ☐ Fair market rents (FMR)
- ☐ 95<sup>th</sup> percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☒ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage:
- ☐ Other (list below)

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

## **(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☐ The section 8 rent reasonableness study of comparable housing
- ☒ Survey of rents listed in local newspaper
- ☐ Survey of similar unassisted units in the neighborhood
- ☐ Other (list/describe below)

## **B. Section 8 Tenant-Based Assistance – *NOT APPLICABLE***

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☐ 100% of FMR
- ☐ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)
- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☐ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☐ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☐ Success rates of assisted families
- ☐ Rent burdens of assisted families
- ☐ Other (list below)

## (2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
- ☐ \$1-\$25
- ☐ \$26-\$50

b. ☐ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## 5. Operations and Management [24 CFR Part 903.7 9 (e)] — **NOT APPLICABLE**

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.
- ☐ A brief description of the management structure and organization of the PHA follows:

### B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		



### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

N/A

## 6. PHA Grievance Procedures [24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?  
If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☒ PHA main administrative office  
☐ PHA development management offices  
☐ Other (list below)

### B. Section 8 Tenant-Based Assistance – **NOT APPLICABLE**

1. ☐ Yes ☐ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982? If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☐ PHA main administrative office  
☐ Other (list below)

## 7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### (1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☐ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-OR-

☒ The Capital Fund Program Annual Statement is provided below: *Please see pages 34 - 35*

## **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☐ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name )

-or-

☒ The Capital Fund Program 5-Year Action Plan is provided below: *Please see pages 50 - 54*

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund) – *NOT APPLICABLE***

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

☐ Revitalization Plan under development

☐ Revitalization Plan submitted, pending approval

☐ Revitalization Plan approved

☐ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year?  
(If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table.)

Demolition/Disposition Activity Description	
1a. Development name: 1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission:	
5. Number of units affected:	
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:	

### **Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities** [24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

#### 2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name: 1b. Development (project) number:	
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	

### **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

#### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1. ☐ Yes ☐ No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table.

Conversion of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	
<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)	
3. Application status: (select one)	
<input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	
<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	

## B. Section 8 Tenant Based Assistance – *NOT APPLICABLE*

1. ☐ Yes ☐ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.A. of 1937, as implemented by 24 CFR part 982? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

### 2. Program Description:

#### a. Size of Program

- ☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants  
☐ 26 - 50 participants  
☐ 51 to 100 participants  
☐ more than 100 participants

#### b. PHA-established eligibility criteria

- ☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
If yes, list criteria below:

## 12. PHA Community Service and Self-sufficiency Programs – *NOT APPLICABLE*

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### A. PHA Coordination with the Welfare (TANF) Agency

#### 1. Cooperative agreements:

- ☐ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)? If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☐ Client referrals
- ☐ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☐ Jointly administer programs
- ☐ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program
- ☐ Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☐ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection /specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

**(2) Family Self Sufficiency program/s**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2004 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? If no, list steps the PHA will take below:

### C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- ☐ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☐ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

### D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

*In order to be eligible for continued occupancy, each adult family member must either (1) contribute to eight hours community service per month (not including political activities) within the community in which the public housing development is located or (2) participate in an economic self-sufficiency program unless they are exempt from this requirement. The following adult members are exempt from this requirement: Family members who are 62 or older, family members who are blind or disabled, family members who are primary caregiver for someone who is blind or disabled, family members engaged in work activity, family members who are exempt from work activity under Part A title IV of the Social Security Act or under any other state welfare program, including the welfare to work program, family members receiving assistance under a state program funded under Part A title IV of the Social Security Act or under any other state welfare program, including welfare to work and who are in compliance with that program.*

## 13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

### A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☐ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☐ Safety and security survey of residents
- ☐ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☐ Resident reports
- ☐ PHA employee reports
- ☐ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

### B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☐ Crime Prevention through Environmental Design
- ☐ Activities targeted to at-risk youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)

### C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☐ Police provide crime data to housing authority staff for analysis and action
- ☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☐ Police regularly testify in and otherwise support eviction cases
- ☐ Police regularly meet with the PHA management and residents
- ☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

### D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- ☐ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

## 14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

## 15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

*Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.*

## 16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☐ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. ☐ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☐ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain?
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

## 17. PHA Asset Management – **NOT APPLICABLE**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - ☐ Not applicable
  - ☐ Private management
  - ☐ Development-based accounting
  - ☐ Comprehensive stock assessment
  - ☐ Other: (list below)



3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information** [24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1. ☐ Yes ☒ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

☐ Attached at Attachment (File name)

☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

☐ Considered comments, but determined that no changes to the PHA Plan were necessary.

☐ The PHA changed portions of the PHA Plan in response to comments

List changes below:

☐ Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1. ☒ Yes ☐ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

#### a. Nomination of candidates for place on the ballot: (select all that apply)

☐ Candidates were nominated by resident and assisted family organizations

☐ Candidates could be nominated by any adult recipient of PHA assistance

☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot

☐ Other: (describe)

#### b. Eligible candidates: (select one)

☐ Any recipient of PHA assistance

☐ Any head of household receiving PHA assistance

☐ Any adult recipient of PHA assistance

☐ Any adult member of a resident or assisted family organization

☐ Other (list)

#### c. Eligible voters: (select all that apply)

☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)

☐ Representatives of all PHA resident and assisted family organizations

☐ Other (list)

## C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

*State of Louisiana*

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☐ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☐ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- ☐ Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

*The PHA will continue to strive to meet the needs of the very low and low-income families in its jurisdiction consistent with the needs addressed in the Consolidated Plan.*

## B. Criteria for Substantial Deviations and Significant Amendments

### (1) Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

#### a. Substantial Deviation from the 5-Year Plan

- ✓ *Any change to Mission Statement such as:*
- ✓ *50% deletion from or addition to the goals and objectives as a whole.*
- ✓ *50% or more decrease in the quantifiable measurement of any individual goal or objective*

#### b. Significant Amendment or Modification to the Annual Plan

- ✓ *50% variance in the funds projected in the Capital Fund Program Annual Statement*
- ✓ *Any increase or decrease over 50% in the funds projected in the Financial Resource Statement and/or the Capital Fund Program Annual Statement*
- ✓ *Any change in a policy or procedure that requires a regulatory 30-day posting*
- ✓ *Any submission to HUD that requires a separate notification to residents, such as HOPE VI, Public Housing*
- ✓ *Conversion, Demolition/Disposition, Designated Housing or Homeownership Programs*
- ✓ *Any change inconsistent with the local, approved Consolidated Plan*

## D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

## Attachments

Use this section to provide any additional attachments referenced in the Plans.

## ATTACHMENT "A" Component 7 Capital Fund Program Annual Statement Parts

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 3/31/2014

**Part I: Summary**

<b>PHA Name:</b> <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-12</i> Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY Grant:</b> <i>2012</i> <b>FFY of Grant Approval:</b>
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**Type of Grant**

- ☒ Original Annual Statement      ☐ Reserve for Disaster/Emergencies      ☒ Revised Annual Statement (revision no: 01 )  
☐ Performance and Evaluation Report for Period Ending:      ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 21,265.00	\$ 21,265.00		
3	1408 Management Improvements	\$ 35,000.00	\$ 21,265.00		
4	1410 Administration (may not exceed 10% of line 21)	\$ 10,632.00	\$ 10,632.00		
5	1411 Audit	\$ 2,000.00	\$ 2,000.00		
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 12,431.00	\$ 12,431.00		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$ 25,000.00	\$ 38,735.00		
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 106,328.00	\$ 106,328.00	\$ -	\$ -
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> 	<b>Date</b> 04/24/2012	<b>Signature of Public Housing Manager</b>	<b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations<sup>4</sup> RHF funds shall be included here

**Part II: Supporting Pages**

<b>PHA Name:</b>		<b>Grant Type and Number</b>				<b>Federal FFY of Grant:</b>		
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: <i>LA48P089501-12</i> CFFP (Yes / No): Replacement Housing Factor Grant No:				<i>2012</i>		
<b>Development Number Name / PHA-Wide Activities</b>	<b>General Description of Major Work Categories</b>	<b>Dev. Account No</b>	<b>QTY</b>	<b>Total Estimated Costs</b>		<b>Total Actual Costs</b>		<b>Status of Work</b>
				<b>Original</b>	<b>Revised <sup>1</sup></b>	<b>Funds Obligated <sup>2</sup></b>	<b>Funds Expended <sup>2</sup></b>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ <i>21,265.00</i>	\$ <i>21,265.00</i>			
<i>PHA Wide</i>	<i>Staff Training, Software Updates</i>	<i>1408</i>		\$ <i>30,000.00</i>	\$ <i>16,265.00</i>			
<i>PHA Wide</i>	<i>Staff travel to train</i>	<i>1408</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>			
<i>PHA Wide</i>	<i>Administration - ED Salary</i>	<i>1410</i>		\$ <i>10,632.00</i>	\$ <i>10,632.00</i>			
<i>PHA Wide</i>	<i>Audit</i>	<i>1411</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>			
<i>PHA Wide</i>	<i>A/E Fees &amp; Costs</i>	<i>1430</i>		\$ <i>12,431.00</i>	\$ <i>12,431.00</i>			
<i>LA089-00001</i>	<i>Dwelling Structures - Floor Repairs, etc.</i>	<i>1460</i>		\$ <i>25,000.00</i>	\$ <i>38,735.00</i>			
				\$ <i>106,328.00</i>	\$ <i>106,328.00</i>	\$ <i>-</i>	\$ <i>-</i>	

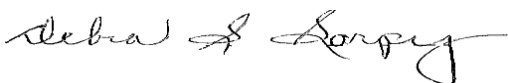
<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report

# ATTACHMENT "B" 8.1 CAPITAL FUND PROGRAM ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT 2011, 2010, 2009, 2008, 2007, 2006 & 2005

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 3/31/2014

<b>Part I: Summary</b>					
<b>PHA Name:</b> <i>Homer Housing Authority</i>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-11</i> Replacement Housing Factor Grant No: Date of CFFP: 			<b>FFY Grant:</b> <i>2011</i> <b>FFY of Grant Approval:</b> 
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disaster/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <i>12/31/2011</i> <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 24,206.00		\$ 24,206.00	\$ -
3	1408 Management Improvements	\$ 33,000.00		\$ 33,000.00	\$ -
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 12,033.00		\$ 12,033.00	\$ -
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 11,794.00		\$ 11,794.00	\$ -
10	1460 Dwelling Structures	\$ 20,000.00		\$ 20,000.00	\$ -
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	\$ 20,000.00		\$ 20,000.00	\$ -
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 121,033.00	\$ -	\$ 121,033.00	\$ -
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director  04/09/2012		Signature of Public Housing Manager		Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations

<sup>4</sup> RHF funds shall be included here

**Part II: Supporting Pages**

<b>PHA Name:</b> <i>Homer Housing Authority</i>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-11</i> CFFP (Yes / No): Replacement Housing Factor Grant No:				<b>Federal FFY of Grant:</b> <i>2011</i>		
<b>Development Number Name / PHA-Wide Activities</b>	<b>General Description of Major Work Categories</b>	<b>Dev. Account No</b>	<b>QTY</b>	<b>Total Estimated Costs</b>		<b>Total Actual Costs</b>		<b>Status of Work</b>
				<b>Original</b>	<b>Revised <sup>1</sup></b>	<b>Funds Obligated <sup>2</sup></b>	<b>Funds Expended <sup>2</sup></b>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ 24,206.00		\$ 24,206.00	\$ -	<i>Complete</i>
<i>PHA Wide</i>	<i>Staff Training, Software Updates</i>	<i>1408</i>		\$ 30,000.00		\$ 30,000.00	\$ -	<i>In Process</i>
<i>PHA Wide</i>	<i>Staff travel to train</i>	<i>1408</i>		\$ 3,000.00		\$ 3,000.00	\$ -	<i>In Process</i>
<i>PHA Wide</i>	<i>A/E Fees &amp; Costs</i>	<i>1430</i>		\$ 12,033.00		\$ 12,033.00	\$ -	<i>In Process</i>
<i>LA89-001</i>	<i>Landscaping</i>	<i>1450</i>		\$ 11,794.00		\$ 11,794.00	\$ -	<i>In Process</i>
<i>LA89-001</i>	<i>Dwelling Improvements - Paint, Roofing, etc.</i>	<i>1460</i>		\$ 20,000.00		\$ 20,000.00	\$ -	<i>In Process</i>
<i>PHA Wide</i>	<i>Purchase a new maintenance vehicle for PHA</i>	<i>1475</i>		\$ 20,000.00		\$ 20,000.00	\$ -	<i>In Process</i>
				\$ 121,033.00	\$ -	\$ 121,033.00	\$ -	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>2</sup> To be completed for the Performance and Evaluation Report

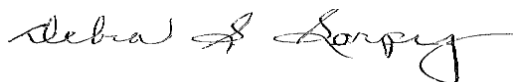
**Part I: Summary**

<b>PHA Name:</b>  <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-10</i> Replacement Housing Factor Grant No: Date of CFFP: 	<b>FFY Grant:</b> <i>2010</i>  <b>FFY of Grant Approval:</b> 
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**Type of Grant**

- ☐ Original Annual Statement     
 ☐ Reserve for Disaster/Emergencies     
 ☐ Revised Annual Statement (revision no:   
☒ Performance and Evaluation Report for Period Ending: *12/31/2011*     
 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 71,000.00		\$ 71,000.00	\$ 71,000.00
3	1408 Management Improvements	\$ 10,000.00		\$ 10,000.00	\$ 10,000.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 11,000.00		\$ 11,000.00	\$ 11,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 2,000.00		\$ 2,000.00	\$ 2,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 15,000.00		\$ 15,000.00	\$ 15,000.00
10	1460 Dwelling Structures	\$ 20,660.00		\$ 20,660.00	\$ 20,660.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$ 8,000.00		\$ 8,000.00	\$ 8,000.00
12	1470 Non-dwelling Structures	\$ 2,000.00		\$ 2,000.00	\$ 2,000.00
13	1475 Non-dwelling Equipment	\$ 2,000.00		\$ 2,000.00	\$ 2,000.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 141,660.00	\$ -	\$ 141,660.00	\$ 141,660.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director  Date 04/09/2012	Signature of Public Housing Manager  Date
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<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations

<sup>4</sup> RHF funds shall be included here

Part II: Supporting Pages								
PHA Name:		Grant Type and Number				Federal FFY of Grant:		
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: <i>LA48P089501-10</i> CFFP (Yes / No): Replacement Housing Factor Grant No:				<i>2010</i>		
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Costs		Total Actual Costs		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ <i>71,000.00</i>		\$ <i>71,000.00</i>	\$ <i>71,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Training for staff</i>	<i>1408</i>		\$ <i>5,000.00</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Travel expenses to training</i>	<i>1408</i>		\$ <i>5,000.00</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Partial salary for ED</i>	<i>1410</i>		\$ <i>11,000.00</i>		\$ <i>11,000.00</i>	\$ <i>11,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>A/E Fees &amp; Costs</i>	<i>1430</i>		\$ <i>2,000.00</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Sidewalk Replacement</i>	<i>1450</i>		\$ <i>15,000.00</i>		\$ <i>15,000.00</i>	\$ <i>15,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Unit Modernization</i>	<i>1460</i>		\$ <i>20,660.00</i>		\$ <i>20,660.00</i>	\$ <i>20,660.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Replace refrigerators, stoves &amp; hot water heaters as needed</i>	<i>1465.1</i>		\$ <i>8,000.00</i>		\$ <i>8,000.00</i>	\$ <i>8,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Office Renovations</i>	<i>1470</i>		\$ <i>2,000.00</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Maintenance Equipment</i>	<i>1475</i>		\$ <i>2,000.00</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
				\$ <i>141,660.00</i>	\$ <i>-</i>	\$ <i>141,660.00</i>	\$ <i>141,660.00</i>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report




**Part I: Summary**

<b>PHA Name:</b>  <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-09</i> Replacement Housing Factor Grant No: Date of CFFP: 	<b>FFY Grant:</b> <i>2009</i>  <b>FFY of Grant Approval:</b> 
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**Type of Grant**

- ☐ Original Annual Statement   
 ☐ Reserve for Disaster/Emergencies   
 ☒ Revised Annual Statement (revision no: 1  )  
☒ Performance and Evaluation Report for Period Ending: *12/31/2011*   
 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 71,000.00	\$ 71,000.00	\$ 71,000.00	\$ 71,000.00
3	1408 Management Improvements	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 11,000.00	\$ 11,000.00	\$ 11,000.00	\$ 11,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 7,000.00	\$ 4,000.00	\$ 4,000.00	\$ 4,000.00
10	1460 Dwelling Structures	\$ 32,701.00	\$ 32,701.00	\$ 32,701.00	\$ 32,701.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$ 5,000.00	\$ 8,000.00	\$ 8,000.00	\$ 8,000.00
12	1470 Non-dwelling Structures	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
13	1475 Non-dwelling Equipment	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 142,701.00	\$ 142,701.00	\$ 142,701.00	\$ 142,701.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b>  <b>Date</b> 04/09/2012	<b>Signature of Public Housing Manager</b>  <b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations  
<sup>4</sup> RHF funds shall be included here

Part II: Supporting Pages								
PHA Name:		Grant Type and Number				Federal FFY of Grant:		
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: <i>LA48P089501-09</i>				<i>2009</i>		
		CFFP (Yes / No):						
		Replacement Housing Factor Grant No:						
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Costs		Total Actual Costs		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ <i>71,000.00</i>	\$ <i>71,000.00</i>	\$ <i>71,000.00</i>	\$ <i>71,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Staff Training</i>	<i>1408</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Travel expenses to training</i>	<i>1408</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Partial Salary for ED</i>	<i>1410</i>		\$ <i>11,000.00</i>	\$ <i>11,000.00</i>	\$ <i>11,000.00</i>	\$ <i>11,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>A/E Fees &amp; Costs</i>	<i>1430</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Site Improvements</i>	<i>1450</i>		\$ <i>7,000.00</i>	\$ <i>4,000.00</i>	\$ <i>4,000.00</i>	\$ <i>4,000.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Complete installation of security windows, Paint exterior vinyl siding, fascia &amp; soffits</i>	<i>1460</i>		\$ <i>32,701.00</i>	\$ <i>32,701.00</i>	\$ <i>32,701.00</i>	\$ <i>32,701.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Replace refrigerators, stoves &amp; hot water heaters as needed</i>	<i>1465.1</i>		\$ <i>5,000.00</i>	\$ <i>8,000.00</i>	\$ <i>8,000.00</i>	\$ <i>8,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Lighting, Office Renovations</i>	<i>1470</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Maintenance Equipment</i>	<i>1475</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
				\$ <i>142,701.00</i>	\$ <i>142,701.00</i>	\$ <i>142,701.00</i>	\$ <i>142,701.00</i>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>2</sup> To be completed for the Performance and Evaluation Report

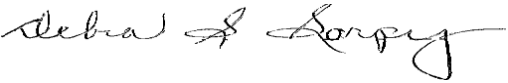
**Part I: Summary**

<b>PHA Name:</b>  <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-08</i> Replacement Housing Factor Grant No: Date of CFFP: 	<b>FFY Grant:</b> <i>2008</i> <b>FFY of Grant Approval:</b> 
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**Type of Grant**

- ☐ Original Annual Statement      ☐ Reserve for Disaster/Emergencies      ☐ Revised Annual Statement (revision no:   
☒ Performance and Evaluation Report for Period Ending: *12/31/2011*      ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 71,000.00		\$ 71,000.00	\$ 71,000.00
3	1408 Management Improvements	\$ 10,000.00		\$ 10,000.00	\$ 10,000.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 11,000.00		\$ 11,000.00	\$ 11,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 1,000.00		\$ 1,000.00	\$ 1,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 10,000.00		\$ 10,000.00	\$ 10,000.00
10	1460 Dwelling Structures	\$ 28,207.00		\$ 28,207.00	\$ 28,207.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$ 8,000.00		\$ 8,000.00	\$ 8,000.00
12	1470 Non-dwelling Structures	\$ 2,000.00		\$ 2,000.00	\$ 2,000.00
13	1475 Non-dwelling Equipment	\$ 2,000.00		\$ 2,000.00	\$ 2,000.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 143,207.00	\$ -	\$ 143,207.00	\$ 143,207.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b>  <b>Date</b> 04/09/2012	<b>Signature of Public Housing Manager</b>  <b>Date</b> 
---	---

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations  
<sup>4</sup> RHF funds shall be included here

**Part II: Supporting Pages**

<b>PHA Name:</b>		<b>Grant Type and Number</b>				<b>Federal FFY of Grant:</b>		
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: <i>LA48P089501-08</i> CFFP (Yes / No): Replacement Housing Factor Grant No:				<i>2008</i>		
<b>Development Number Name / PHA-Wide Activities</b>	<b>General Description of Major Work Categories</b>	<b>Development Account No.</b>	<b>Quantity</b>	<b>Total Estimated Costs</b>		<b>Total Actual Costs</b>		<b>Status of Work</b>
				<b>Original</b>	<b>Revised <sup>1</sup></b>	<b>Funds Obligated <sup>2</sup></b>	<b>Funds Expended <sup>2</sup></b>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ <i>71,000.00</i>		\$ <i>71,000.00</i>	\$ <i>71,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Training for Staff</i>	<i>1408</i>		\$ <i>5,000.00</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Travel expenses to training</i>	<i>1408</i>		\$ <i>5,000.00</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Partial Salary for ED</i>	<i>1410</i>		\$ <i>11,000.00</i>		\$ <i>11,000.00</i>	\$ <i>11,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>A/E Fees &amp; Costs</i>	<i>1430</i>		\$ <i>1,000.00</i>		\$ <i>1,000.00</i>	\$ <i>1,000.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Tree removal, electrical pole removal, signs</i>	<i>1450</i>		\$ <i>10,000.00</i>		\$ <i>10,000.00</i>	\$ <i>10,000.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Complete installation of security windows, paint exterior vinyl siding, fascia &amp; soffits</i>	<i>1460</i>		\$ <i>28,207.00</i>		\$ <i>28,207.00</i>	\$ <i>28,207.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Replace refrigerators, stoves &amp; hot water heaters as needed</i>	<i>1465.1</i>		\$ <i>8,000.00</i>		\$ <i>8,000.00</i>	\$ <i>8,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Electrical, light fixtures in the office</i>	<i>1470</i>		\$ <i>2,000.00</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Maintenance Equipment</i>	<i>1475</i>		\$ <i>2,000.00</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
				\$ <i>143,207.00</i>	\$ <i>-</i>	\$ <i>143,207.00</i>	\$ <i>143,207.00</i>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report

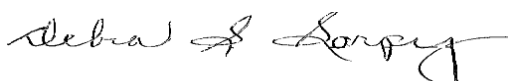
**Part I: Summary**

<b>PHA Name:</b> <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-07</i> Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY Grant:</b> <i>2007</i> <b>FFY of Grant Approval:</b>
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**Type of Grant**

- ☐ Original Annual Statement
 ☐ Reserve for Disaster/Emergencies
 ☒ Revised Annual Statement (revision no: 1 )
 ☒ Performance and Evaluation Report for Period Ending: *12/31/2011*
☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 58,866.00	\$ 58,866.00	\$ 58,866.00	\$ 58,866.00
3	1408 Management Improvements	\$ 28,739.00	\$ 28,739.00	\$ 28,739.00	\$ 28,739.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 14,369.00	\$ 14,369.00	\$ 14,369.00	\$ 14,369.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
10	1460 Dwelling Structures	\$ 27,719.00	\$ 25,603.00	\$ 25,603.00	\$ 25,603.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$ 5,000.00	\$ 7,116.00	\$ 7,116.00	\$ 7,116.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 143,693.00	\$ 143,693.00	\$ 143,693.00	\$ 143,693.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> 	<b>Date</b> 04/09/2012	<b>Signature of Public Housing Manager</b>	<b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations  
<sup>4</sup> RHF funds shall be included here

Part II: Supporting Pages								
PHA Name:		Grant Type and Number				Federal FFY of Grant:		
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: <i>LA48P089501-07</i>				<i>2007</i>		
		CFFP (Yes / No):						
		Replacement Housing Factor Grant No:						
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Costs		Total Actual Costs		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ <i>58,866.00</i>	\$ <i>58,866.00</i>	\$ <i>58,866.00</i>	\$ <i>58,866.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Seminars &amp; workshops for staff, the ED &amp; Commissioners, Technical Support Contract for Computers, software &amp; security services</i>	<i>1408</i>		\$ <i>28,739.00</i>	\$ <i>28,739.00</i>	\$ <i>28,739.00</i>	\$ <i>28,739.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Advertising, consultant for CFP Reporting &amp; Annual plan, partial salary for ED</i>	<i>1410</i>		\$ <i>14,369.00</i>	\$ <i>14,369.00</i>	\$ <i>14,369.00</i>	\$ <i>14,369.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>A/E Fees &amp; Costs</i>	<i>1430</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Stump grinding</i>	<i>1450</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Dwelling improvements, A/C units, roofing &amp; electrical</i>	<i>1460</i>		\$ <i>27,719.00</i>	\$ <i>25,603.00</i>	\$ <i>25,603.00</i>	\$ <i>25,603.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Ranges &amp; Refrigerators</i>	<i>1465.1</i>		\$ <i>5,000.00</i>	\$ <i>7,116.00</i>	\$ <i>7,116.00</i>	\$ <i>7,116.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Computer Equipment</i>	<i>1475</i>		\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	\$ <i>5,000.00</i>	<i>Complete</i>
				\$ <i>143,693.00</i>	\$ <i>143,693.00</i>	\$ <i>143,693.00</i>	\$ <i>143,693.00</i>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report

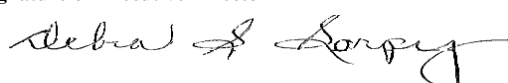
**Part I: Summary**

<b>PHA Name:</b>  <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-06</i> Replacement Housing Factor Grant No: Date of CFP: 	<b>FFY Grant:</b> <i>2006</i>  <b>FFY of Grant Approval:</b> 
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**Type of Grant**

- ☐ Original Annual Statement    
 ☐ Reserve for Disaster/Emergencies    
 ☐ Revised Annual Statement (revision no:   
☒ Performance and Evaluation Report for Period Ending: *12/31/2011*    
 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 32,602.03		\$ 32,602.03	\$ 32,602.03
3	1408 Management Improvements	\$ 10,000.00		\$ 10,000.00	\$ 10,000.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 13,909.97		\$ 13,909.97	\$ 13,909.97
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 9,500.00		\$ 9,500.00	\$ 9,500.00
10	1460 Dwelling Structures	\$ 40,272.00		\$ 40,272.00	\$ 40,272.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$ 8,000.00		\$ 8,000.00	\$ 8,000.00
12	1470 Non-dwelling Structures	\$ 2,000.00		\$ 2,000.00	\$ 2,000.00
13	1475 Non-dwelling Equipment	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 143,284.00	\$ -	\$ 143,284.00	\$ 143,284.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b>  <b>Date</b> 04/09/2012	<b>Signature of Public Housing Manager</b>  <b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations  
<sup>4</sup> RHF funds shall be included here

**Part II: Supporting Pages**

<b>PHA Name:</b>		<b>Grant Type and Number</b>				<b>Federal FFY of Grant:</b>		
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: <i>LA48P089501-06</i> CFFP (Yes / No): Replacement Housing Factor Grant No:				<i>2006</i>		
<b>Development Number Name / PHA-Wide Activities</b>	<b>General Description of Major Work Categories</b>	<b>Development Account No.</b>	<b>Quantity</b>	<b>Total Estimated Costs</b>		<b>Total Actual Costs</b>		<b>Status of Work</b>
				<b>Original</b>	<b>Revised <sup>1</sup></b>	<b>Funds Obligated <sup>2</sup></b>	<b>Funds Expended <sup>2</sup></b>	
<i>PHA Wide</i>	<i>Operations</i>	<i>1406</i>		\$ <i>32,602.03</i>		\$ <i>32,602.03</i>	\$ <i>32,602.03</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Seminars &amp; workshops for staff, ED &amp; Commissioners, Software updates</i>	<i>1408</i>		\$ <i>10,000.00</i>		\$ <i>10,000.00</i>	\$ <i>10,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Advertising, Consultant for CFP Reporting &amp; Annual Plan, Salary</i>	<i>1410</i>		\$ <i>13,909.97</i>		\$ <i>13,909.97</i>	\$ <i>13,909.97</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Tree Removal</i>	<i>1450</i>		\$ <i>9,500.00</i>		\$ <i>9,500.00</i>	\$ <i>9,500.00</i>	<i>Complete</i>
<i>LA089-001</i>	<i>Replace cabinets, forced account labor, Breaker Boxes, Unit renovations, Lighting, Air Conditioners</i>	<i>1460</i>		\$ <i>40,272.00</i>		\$ <i>40,272.00</i>	\$ <i>40,272.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Ranges &amp; Refrigerators</i>	<i>1465.1</i>		\$ <i>8,000.00</i>		\$ <i>8,000.00</i>	\$ <i>8,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Office Renovations</i>	<i>1470</i>		\$ <i>2,000.00</i>		\$ <i>2,000.00</i>	\$ <i>2,000.00</i>	<i>Complete</i>
<i>PHA Wide</i>	<i>Maintenance Equipment, Vehicle, Furnace</i>	<i>1475</i>		\$ <i>27,000.00</i>		\$ <i>27,000.00</i>	\$ <i>27,000.00</i>	<i>Complete</i>
				\$ <i>143,284.00</i>	\$ <i>-</i>	\$ <i>143,284.00</i>	\$ <i>143,284.00</i>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>2</sup> To be completed for the Performance and Evaluation Report



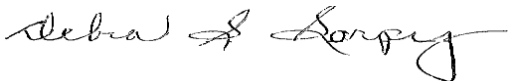
**Part I: Summary**

<b>PHA Name:</b>  <i>Homer Housing Authority</i>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <i>LA48P089501-05</i> Replacement Housing Factor Grant No: Date of CFFP: 	<b>FFY Grant:</b> <i>2005</i> <b>FFY of Grant Approval:</b> 
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**Type of Grant**

- ☐ Original Annual Statement      ☐ Reserve for Disaster/Emergencies      ☒ Revised Annual Statement (revision no: 1  )  
☒ Performance and Evaluation Report for Period Ending: *12/31/2011*      ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Costs <sup>2</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00
3	1408 Management Improvements	\$ 10,000.00	\$ 16,765.82	\$ 16,765.82	\$ 16,765.82
4	1410 Administration (may not exceed 10% of line 21)	\$ 6,000.00	\$ 3,672.32	\$ 3,672.32	\$ 3,672.32
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 17,788.00	\$ 4,364.31	\$ 4,364.31	\$ 4,364.31
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 3,000.00	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ 103,000.00	\$ 114,985.55	\$ 114,985.55	\$ 114,985.55
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2-19)	\$ 145,788.00	\$ 145,788.00	\$ 145,788.00	\$ 145,788.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b>  <b>Date</b> 04/09/2012	<b>Signature of Public Housing Manager</b>  <b>Date</b>
---	---

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for Operations  
<sup>4</sup> RHF funds shall be included here

PHA Name:		Grant Type and Number						Federal FFY of Grant:	
<i>Homer Housing Authority</i>		Capital Fund Program Grant No: LA48P089501-05 CFFP (Yes / No): Replacement Housing Factor Grant No:						2005	
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Costs		Total Actual Costs		Status of Work	
				Original	Revised 1	Funds Obligated 2	Funds Expended 2		
PHA Wide	Operations	1406		\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	Complete	
PHA Wide	Seminars & workshops for staff, ED & Commissioners, Software updates	1408		\$ 10,000.00	\$ 16,765.82	\$ 16,765.82	\$ 16,765.82	Complete	
PHA Wide	Advertising, Consultant for CFP Reporting & Annual Plan, Salary	1410		\$ 6,000.00	\$ 3,672.32	\$ 3,672.32	\$ 3,672.32	Complete	
PHA Wide	Architectural Services	1430		\$ 17,788.00	\$ 4,364.31	\$ 4,364.31	\$ 4,364.31	Complete	
LA089-001	Tree removal	1450		\$ 3,000.00	\$ -	\$ -	\$ -	Complete	
LA089-001	Replace cabinets, forced account labor, breaker boxes, unit renovations, lighting & air conditioners	1460		\$ 103,000.00	\$ 114,985.55	\$ 114,985.55	\$ 114,985.55	Complete	
				\$ 145,788.00	\$ 145,788.00	\$ 145,788.00	\$ 145,788.00		

<sup>2</sup> To be completed for the Performance and Evaluation Report

# ATTACHMENT "C"

## Capital Fund Program - Five-Year Action Plan

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number <i>Homer Housing Authority</i>		Locality (City/Couty & State) <i>Claiborne Parish</i>		<input type="checkbox"/> Original 5-Year Plan		<input checked="" type="checkbox"/> Revision No: <i>1</i>
A.	Development Number and Name	Work Statement for Year 1 FFY <i>2012</i>	Work Statement for Year 2 FFY <i>2013</i>	Work Statement for Year 3 FFY <i>2014</i>	Work Statement for Year 4 FFY <i>2015</i>	Work Statement for Year 5 FFY <i>2016</i>
B.	Physical Improvements Subtotal		\$ <i>38,735.00</i>	\$ <i>38,735.00</i>	\$ <i>38,735.00</i>	\$ <i>38,735.00</i>
C.	Management Improvements		\$ <i>21,265.00</i>	\$ <i>21,265.00</i>	\$ <i>21,265.00</i>	\$ <i>21,265.00</i>
D.	PHA - Wide Non-dwelling Structure and Equipment					
E.	Administration		\$ <i>10,632.00</i>	\$ <i>10,632.00</i>	\$ <i>10,632.00</i>	\$ <i>10,632.00</i>
F.	Other		\$ <i>14,431.00</i>	\$ <i>14,431.00</i>	\$ <i>14,431.00</i>	\$ <i>14,431.00</i>
G.	Operations		\$ <i>21,265.00</i>	\$ <i>21,265.00</i>	\$ <i>21,265.00</i>	\$ <i>21,265.00</i>
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds		\$ <i>106,328.00</i>	\$ <i>106,328.00</i>	\$ <i>106,328.00</i>	\$ <i>106,328.00</i>
L.	Total Non-CFP Funds					
M.	Grand Total		\$ <i>106,328.00</i>	\$ <i>106,328.00</i>	\$ <i>106,328.00</i>	\$ <i>106,328.00</i>

# Capital Fund Program - Five-Year Action Plan

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY  <i>2012</i>	Work Statement for Year <i>2013</i> FFY <i>2013</i>			Work Statement for Year <i>2014</i> FFY <i>2014</i>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs
	<i>Dwelling Structures - floor repairs, etc.</i>		<i>\$ 38,735.00</i>	<i>Dwelling Structures - floor repairs, etc.</i>		<i>\$ 38,735.00</i>
	Subtotal of Estimated Cost		<i>\$ 38,735.00</i>	Subtotal of Estimated Cost		<i>\$ 38,735.00</i>

# Capital Fund Program - Five-Year Action Plan

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY  <b>2012</b>	Work Statement for Year <u>2015</u> FFY <u>2015</u>			Work Statement for Year <u>2016</u> FFY <u>2016</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs
	<i>Dwelling Structures - floor repairs, etc.</i>		\$ 38,735.00	<i>Dwelling Structures - floor repairs, etc.</i>		\$ 38,735.00
	Subtotal of Estimated Cost:		\$ 38,735.00	Subtotal of Estimated Cost:		\$ 38,735.00

## Capital Fund Program - Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 4/30/2011

### **Part III: Supporting Pages - Management Needs Work Statement(s)**

Work Statement for Year 1 FFY  <i>2012</i>	Work Statement for Year FFY <i>2013</i>			Work Statement for Year FFY <i>2014</i>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs
	<i>Operations</i>		\$ 21,265.00	<i>Operations</i>		\$ 21,265.00
	<i>Staff training, software updates</i>		\$ 16,265.00	<i>Staff training, software updates</i>		\$ 16,265.00
	<i>Staff travel to train</i>		\$ 5,000.00	<i>Staff travel to train</i>		\$ 5,000.00
	<i>Administration - ED Salary</i>		\$ 10,632.00	<i>Administration - ED Salary</i>		\$ 10,632.00
	<i>Audit</i>		\$ 2,000.00	<i>Audit</i>		\$ 2,000.00
	<i>A/E Fees &amp; Costs</i>		\$ 12,431.00	<i>A/E Fees &amp; Costs</i>		\$ 12,431.00
	Subtotal of Estimated Cost:		\$ 67,593.00	Subtotal of Estimated Cost:		\$ 67,593.00

# Capital Fund Program - Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 4/30/2011

Part III: Supporting Pages - Management Needs Work Statement(s)						
Work Statement for Year 1 FFY  <b>2012</b>	Work Statement for Year <u>2015</u> FFY <u>2015</u>			Work Statement for Year <u>2016</u> FFY <u>2016</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Costs
	<i>Operations</i>		\$ 21,265.00	<i>Operations</i>		\$ 21,265.00
	<i>Staff training, software updates</i>		\$ 16,265.00	<i>Staff training, software updates</i>		\$ 16,265.00
	<i>Staff travel to train</i>		\$ 5,000.00	<i>Staff travel to train</i>		\$ 5,000.00
	<i>Administration - ED Salary</i>		\$ 10,632.00	<i>Administration - ED Salary</i>		\$ 10,632.00
	<i>Audit</i>		\$ 2,000.00	<i>Audit</i>		\$ 2,000.00
	<i>A/E Fees &amp; Costs</i>		\$ 12,431.00	<i>A/E Fees &amp; Costs</i>		\$ 12,431.00
	Subtotal of Estimated Cost:		\$ 67,593.00	Subtotal of Estimated Cost:		\$ 67,593.00

## **REQUIRED ATTACHMENT “D” *Community Service***

*In order to be eligible for continued occupancy, each adult family member must either (1) contribute to eight hours community service per month (not including political activities) within the community in which the public housing development is located or (2) participate in an economic self-sufficiency program unless they are exempt from this requirement. The following adult members are exempt from this requirement: Family members who are 62 or older, family members who are blind or disabled, family members who are primary caregiver for someone who is blind or disabled, family members engaged in work activity, family members who are exempt from work activity under Part A Title IV of the Social Security Act or under any other state welfare program, including the welfare to work program, family members receiving assistance under a state program funded under Part A Title IV of the Social Security Act or under any other state welfare program, including welfare to work and who are in compliance with that program*

## **REQUIRED ATTACHMENT “E”**

### ***Violence against Women and Justice Department Reauthorization Act 2005 Policy***

**PURPOSE:** *The purpose of this policy is to assure that the Homer Housing Authority is in compliance with Notice PIH 200623 dated June 23, 2006, entitled, “Violence against Women and Justice Department Reauthorization Act 2005”.*

**POLICY:** *The Homer Housing Authority shall support or assist victims of domestic violence, dating violence, sexual assault, or stalking in its Public Housing Program. The Homer Housing Authority shall prohibit the eviction of, removal of assistance from certain victims, as well as members of the victims’ immediate families, living in public housing if the asserted ground for such action is domestic violence, dating violence, sexual assault, or stalking.*



## **REQUIRED ATTACHMENT “F” *PET POLICY***

### **Homer Housing Authority Pet Ownership Rules**

1. “Common household pets” means a domesticated cat, dog, bird, fish in aquarium, gerbil and guinea pig. These definitions do not include any wild animal, bird or fish.
2. Each household shall have only one pet
3. The pet owner shall have only a small cat or dog. The animal’s weight shall not exceed over 10 pounds. The animal’s height shall not exceed twelve inches. Such limitations do not apply to seeing-eye dogs used to assist a handicapped or disabled resident
4. Pet shall be licensed yearly by the City. Pet owners must show the Authority proof of annual rabies and distemper booster inoculations
5. No pet owner shall keep a pet in violations State or local health or humane laws or ordinances. Any failure of these pet ownership rules to contain other applicable State or local laws or ordinance does not relieve the pet owner of the responsibility for complying with such requirements.
6. The pet owner shall have his or her cat or dog spayed and neutered and shall pay the cost thereof. A veterinarian shall verify that the spaying or neutering has been accomplished.
7. The pet owner shall house pet inside the owner’s dwelling unit. The pet owner shall keep a cat or a dog on a leash and shall control the animal when it is taken out of the dwelling unit for any purpose. The owner of a bird to cage at all times. No owner shall allow his or her pet to be unleashed or loose outside owner’s dwelling unit.
8. Residents shall not keep, raise, train, or breed any pets at any locations, either inside the dwelling unit, for commercial purposes.
9. No pet owner shall keep a vicious or intimidating pet on the premises. If the pet owner declines, delay or refuse to remove the pet from the premises the Authority shall do so, in order to safeguard the health and welfare of the other residents.
10. No pet owner shall permit his or her pet to disturb, interfere or diminish the peaceful enjoyment of the pet owner’s neighbors or the other residents. The terms “disturb, interfere or diminish” shall include but not be limited to barking, howling, biting scratching, chirping and other activities of a disturbing nature. If the pet owner declines, delays or refuse to remove the pet from the premises, the Authority shall do so.
11. The owner of a cat shall feed the animal at least once per day; provide a litter box inside the dwelling unit; clean the litter box at least every two days; and take the animal to the veterinarian at least once per year. The pet owner shall not permit refuse from the litter box to accumulate or to become unsanitary or unsightly and shall dispose of such dropping by placing them in a sack in a designated container outside the building where the pet owner lives.
12. The owner of a dog shall feed the animal at least once per week; take the animal for a walk at least twice per day; remove the animal droppings at least twice per day; and take the animal to a veterinarian at least once per year. The pet owner shall not permit dog dropping to accumulate or to become unsanitary, and shall dispose of such droppings by placing them in a sack in a designated container outside the building where the pet owner lives.

13. The pet owner shall take the precautions and measures necessary to eliminate pet odors within and around the dwelling unit, and shall maintain the dwelling unit in a sanitary condition at all times, as determined by the Authority.
14. No pet owner shall alter the dwelling unit or the surrounding premises to create space, hole, container or enclosure for any pet.
15. Authority shall enter dwelling unit where a pet has been left unattended for twenty-four hours, remove the pet and transfer it to the proper local authorities, subject to any provisions of State or local laws or ordinances in this regard. The Authority shall accept NO responsibility for the pet under such circumstances.
16. Each pet owner shall pay a pet deposit of \$50.00. The pet deposit is not part of the rent payable by the pet owner, and is in addition to any other financial obligation generally imposed on the project where the pet owner lives. The Authority shall use pet deposit only to pay reasonable expenses directly attributed to the presence of the project, including, but not limited to the cost of repairs and replacements to, and the fumigation of, the pet owner's dwelling unit. The Authority shall refund the unused portion of the pet deposit to the pet owner within thirty (30) days after the pet owner moves from the dwelling unit or no longer owns a pet or keeps a pet in the dwelling unit.
17. All residents, including elderly, handicapped are prohibited from feeding, housing or caring for stray animals or birds. Such action shall constitute having a pet without permission of the Authority.
18. Each pet owner shall identify an alternate custodian for his or her pet. If the pet owner is ill or absent from the dwelling unit and unable to care for his or her pet, the alternate custodian shall be responsible for the care and keeping of the pet, including if necessary, the removal of the pet from Authority premises.
19. Should any pet housed in the Authority's facilities give birth to a litter, the resident shall remove from the premises all of said pets except one.
20. If a resident, and/or pet owner breaches any of the rules forth above the Authority may revoke the pet permit and evict the resident or the pet owner.

## REQUIRED ATTACHMENT “G”: *Resident Member on the PHA Governing Board*

1. ☐ Yes ☒ No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

B. How was the resident board member selected: (select one)?

☐ Elected

☐ Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

☐ The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis

☒ The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

☐ Other (explain):

B. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

# Certification of Payments to Influence Federal Transactions

U. S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

*Homer Housing Authority*

Program/Activity Receiving Federal Grant Funding

*2012 Capital Fund Program*

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the , to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal Contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form- LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000.00 and not more than \$100,000.00 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

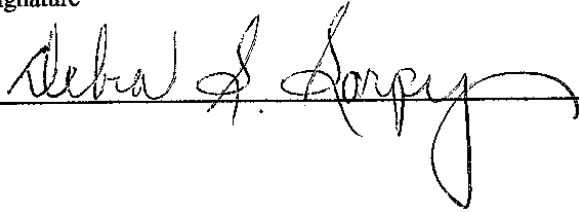
**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Debra S. Sarpy

Signature



Title

*Executive Director*

Date (mm/dd/yyyy)

04/09/2012

## Civil Rights Certification

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 04/30/2011

### Civil Rights Certification Annual Certification and Board Resolution

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof.*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Homer Housing Authority

PHA Name

LA089

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U. S. C. 1001, 1010, 1012; 31 U. S. C. 3729, 3802)

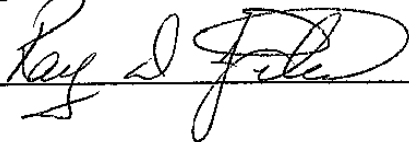
Name of Authorized Official

Ray D. Jiles

Title

Board Chairman

Signature



Date

04/09/2012